

JPW

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: May 10, 2004 Name: Tadashi Horie Reg. No. 40,437 Signature: Tadashi Horie

BRINKS
HOFER
GILSON
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Koji TAJIMA
Naoki MATSUMOTO
Hiroaki BAN
Kazuhiro YAMADA

Appln. No.: 10/777,996

Filed: February 12, 2004

For: ELECTRONIC DEVICE AND PROGRAM

Attorney Docket No: 9683/166

Examiner: To Be Assigned

Art Unit: To Be Assigned

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ [Information Disclosure Statement (in dup.), Form PTO-1449, 1 Cited Reference]
☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
☐ Small Entity.
☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(____).
☐ An additional filing fee has been calculated as shown below:

| | | | | | Small Entity | | | Not a Small Entity | | |
|---|-------------------------------------|-------|------------------------------------|------------------|--------------|---------|-----------|--------------------|----------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | | Rate | Add'l Fee | or | Rate | Add'l Fee |
| Total | | Minus | | | | x \$9= | | | x \$18= | |
| Indep. | | Minus | | | | x 43= | | | x \$86= | |
| First Presentation of Multiple Dep. Claim | | | | | | +\$145= | | | + \$290= | |
| | | | | | | Total | \$ | | Total | \$ |

Fee payment:

- ☐ A check in the amount of \$_____ to cover the above-identified fee(s) is enclosed.
☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

May 10, 2004
Date

Tadashi Horie
Tadashi Horie (Reg. No. 40,437)



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

May 10, 2004

Date of Deposit

Tadashi Horie Reg. No. 40,437

Name of Applicant, Assignee or
Registered Representative

Signature

May 10, 2004

Date of Signature

Our Case No.: **9683/166**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Koji TAJIMA
Naoki MATSUMOTO
Hiroaki BAN
Kazuhiro YAMADA

Examiner: To Be Assigned

Group Art Unit No.: To Be Assigned

Serial No.: 10/777,996

Filing Date: February 12, 2004

For: ELECTRONIC DEVICE AND
PROGRAM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the document

listed on the attached Form PTO-1449 be considered by the Examiner and made of record. A copy of the listed document required by 37 C.F.R. § 1.98(a)(2) is enclosed for the convenience of the Examiner.

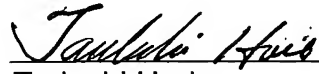
Prior art reference A1 is in Japanese. Applicants are providing an English abstract of the reference.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Tadashi Horie", is written over a horizontal line.

Tadashi Horie
Registration No. 40,437
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-4200



| | | |
|---|----------------------------------|----------------------------------|
| FORM PTO-1449 | SERIAL NO. 10/777,996 | CASE NO. 9683/166 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE February 12, 2004 | GROUP ART UNIT To Be Assigned |
| (use several sheets if necessary) | APPLICANT(S): Koji TAJIMA et al. | |

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|---------------------|---|--|------|------|--------------------|----------------|
| | A | | | | | |
| | A | | | | | |
| | A | | | | | |
| | A | | | | | |
| | A | | | | | |
| | A | | | | | |
| | A | | | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES OR NO |
|---------------------|----|--|------------|---------|--------------------|--------------------------|
| | A1 | JP 2000-57081 A | 02/25/2000 | Japan | | Abstract |
| | A | | | | | |
| | A | | | | | |
| | A | | | | | |
| | A | | | | | |

EXAMINER
INITIAL

OTHER ART – NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)

| | | |
|--|---|--|
| | A | |
| | A | |
| | A | |
| | A | |
| | A | |
| | A | |

| | |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.